

FACSIMILE TRANSMITTAL
BOZICEVIC, FIELD & FRANCIS LLP
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Menlo Park, CA 94025
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FORMAL COMMUNICATION

FAX RECEIVED

JUN 10 2003

GROUP 1600

Date: June 9, 2003

To: Technology 1600 Group Art 1646
Examiner Olga N. Chernyshev
United States Patent and Trademark Office

Facsimile No. (703) 308-2742

From: Carol L. Francis (Reg. No. 36,513)

Re: U.S. Application Serial No. 09/509,196
Date Filed: March 23, 2000
Title: "Potential Effector for the GRB7 Family of Signalling Proteins"
Inventors: Roger John Daly
Our Reference: RICE-012

OFFICIAL

Message: Examiner Chernyshev:

Please find attached the Request for Continued Examination with confirmation of receipt which was previously facsimiled on April 24, 2003.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to deposit Account No. 50-0815.

- 1) RCE Fee;
- 2) Extension of Time fee.

Please see the attached.

Please contact me at 650-833-7713 if you have any questions regarding this filing/formal Communication.

Total number of pages, including this cover sheet:

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PTO/SB/17 (01-03)

Approved for use through 04/30/2003, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2003**

Effective 01/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$5) 430

Complete if Known

Application Number	09/509,196
Filing Date	March 23, 2000
First Named Inventor	DALY, ROGER JOHN
Examiner Name	CHERNYSHEV, OLGA N.
Art Unit	1646
Attorney Docket No.	RICE-012

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account

Deposit Account Number 50-0815

Deposit Account Name Bozicvic, Field & Francis LLP

The Commissioner authorized to: (check all that apply)

☒ Charge fees indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	620	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
18	-20** =	x	=
Indep. Claims	2	-3** =	x
Multiple Dependent			=

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	200	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1812	2,620	1812	2,620	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	53
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	320	2403	160	Request for oral hearing	
1451	1,500	2451	750	Petition to institute a public use proceeding	
1452	410	2452	205	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1406	130	2406	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	100	1006	180	Submission of Information Disclosure Stmt	
8021	40	5021	40	Recording each patent assignment per property (times number of properties)	
1800	750	2800	375	Filing a submission after final rejection (37 CFR § 1.129(n))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	375
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$5) 430

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Carol L. Francis	Registration No. (Attorney/Agent)	38,513	Telephone	(650) 327-1400
Signature	<i>Carol L. Francis</i>	Date	04/24/2003		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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* * * Transmission Result Report(Immediate TX) (Apr.24. 2003 12:56PM) * * *

1)
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4/24

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M# : Batch
M : Memory
S : Standard
V : ReductionC : Confidential
L : Send later
D : Detail
H : Stored/D.ServerS : Transfer
F : Forwarding
T : Tint
P : PC FaxP : Polling
E : ECM
U : Super Fine

04/24/03

FACSIMILE TRANSMITTAL
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200 Middlefield Road, Suite 200
Menlo Park, CA 94025
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Facsimile Number: (650)327-3231
FORMAL COMMUNICATION

Date: April 24, 2003
To: Examiner Olga N. Chernyshev
United States Patent and Trademark Office
Facsimile No. (703) 308-0294
From: Carol L. Francis (Reg. No. 36,513)
Re: U.S. Application Serial No. 09/509,196
Date Filed: March 23, 2000
Title: "Potential Effector for the GRB7 Family of Signalling
Proteins"
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Please contact me at 650-833-7713 if you have any questions regarding this filing/formal Communication.

Total number of pages, including this cover sheet: 6

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Please contact Steven Goldstein at (650) 327-3400 if you have any problems receiving this transmission.

04/24/03